



PERPETUAL PRIVATE WRAP THIRD PARTY AUTHORITY

Use this form to nominate another individual to have either enquiry access or become an authorised signatory on your Perpetual Private Investment Wrap account.

PLEASE USE BLACK INK

1. Account details

Account number

Account name

2. Third party details

If you would like to appoint more than one third party to your account, please complete **section 2A** and **2B**.

2A. FIRST INDIVIDUAL OR DIRECTOR TO BE APPOINTED

myClient ID

Title

☐ Mr ☐ Mrs ☐ Miss ☐ Ms Other

Given name

Middle name

Surname

Any other names known by (where applicable)

Occupation

How have you mainly accumulated your wealth? (select one)

<input type="checkbox"/> Savings from employment	<input type="checkbox"/> Business operations
<input type="checkbox"/> Superannuation	<input type="checkbox"/> Investments
<input type="checkbox"/> Inheritance/Gift	<input type="checkbox"/> Foreign income
<input type="checkbox"/> Sale of a property or asset	<input type="checkbox"/> Legal settlement

Date of birth

 / /

Address

Street name and number

Suburb/Town

State

Postcode

Mobile number (mandatory)

Email address

Dealer code (if applicable)

Adviser code (if applicable)

2B. SECOND INDIVIDUAL OR DIRECTOR TO BE APPOINTED

myClient ID

Title

☐ Mr ☐ Mrs ☐ Miss ☐ Ms Other

Given name

Middle name

Surname

Any other names known by (where applicable)

Occupation

How have you mainly accumulated your wealth? (select one)

<input type="checkbox"/> Savings from employment	<input type="checkbox"/> Business operations
<input type="checkbox"/> Superannuation	<input type="checkbox"/> Investments
<input type="checkbox"/> Inheritance/Gift	<input type="checkbox"/> Foreign income
<input type="checkbox"/> Sale of a property or asset	<input type="checkbox"/> Legal settlement

Date of birth

 / /

Address

Street name and number

Suburb/Town

State

Postcode

Mobile number (mandatory)

Email address

Dealer code (if applicable)

Adviser code (if applicable)

3. Level of authority

Enquiry access – enables a third party to access information on your account only. A third party with this level of access can nominate to have ClientView access to view account details, reports, statements and update personal details. This level of access does not authorise a third party to make withdrawals from your account, make any changes to your account details or close your account.

Authorised signatory access – enables a third party to access information on your account, make payments and withdrawals from your account, change details on your account and close your account. A third party with this level of access can nominate to have ClientView access to view account details, reports, statements, update personal and account details. An authorised signatory may be able to make corporate action elections. Authorised signatories are not able to appoint other authorised signatories.

3A. PLEASE SELECT THE LEVEL OF AUTHORITY FOR FIRST INDIVIDUAL

- ☐ Enquiry authority OR
☐ Authorised signatory

*Please note: An Authorised Signatory is required under the AML/CTF Act 2006 to complete the **FSC/FPA Individuals and sole traders identification form**.*

For appointed Authorised signatories ► **please select the account operating instructions in section 3C below**

3B. PLEASE SELECT THE LEVEL OF AUTHORITY FOR SECOND INDIVIDUAL

- ☐ Enquiry authority OR
☐ Authorised signatory ► **complete section 3C below**

*Please note: An Authorised Signatory is required under the AML/CTF Act 2006 to complete the **FSC/FPA Individuals and sole traders identification form**.*

For appointed Authorised signatories ► **please select the account operating instructions in section 3C**

3C. ACCOUNT OPERATING INSTRUCTIONS FOR APPOINTED AUTHORISED SIGNATORIES

- ☐ Any one Authorised Signatory to sign
☐ All Authorised Signatories to sign
☐ Other – Please specify

For ClientView access ► **go to section 4**

To nominate hardcopy account statements ► **go to section 5**

4. ClientView

ClientView enables you to view account details and reports, and update personal details.

4A. WOULD YOU LIKE CLIENTVIEW ACCESS TO THE ACCOUNT LISTED IN SECTION 1?

- ☐ No, please go to next section
☐ Yes, do you have an existing online access code for Perpetual Private Wrap?
☐ Yes, insert Access Code
☐ No, an Access Code will be issued

4B. WOULD YOU LIKE CLIENTVIEW ACCESS TO THE ACCOUNT LISTED IN SECTION 1?

- ☐ No, please go to next section
☐ Yes, do you have an existing online access code for Perpetual Private Wrap?
☐ Yes, insert Access Code
☐ No, an Access Code will be issued

*Please note: electing to have ClientView, enables you to access online statements. For hard copy statements ► **please complete section 5**.*

5. Account statements

5A. DO YOU WANT TO RECEIVE A HARDCOPY STATEMENT FOR THE ACCOUNT LISTED IN SECTION 1?

- ☐ Yes
☐ No

► **Please go to next section**

5B. DO YOU WANT TO RECEIVE A HARDCOPY STATEMENT FOR THE ACCOUNT LISTED IN SECTION 1?

- ☐ Yes
☐ No

► **Please go to next section**

6. Would you like to cancel a Third Party Authority on your Perpetual account?

- ☐ No
☐ Yes, please specify name

Existing authorities will remain on your Perpetual account if this section is not completed.

7. Declaration and signature of appointee(s)

I confirm that I have read and agree to be bound by the terms and conditions as set out in **section 9**. I understand that the Access Code and password (where applicable) assigned to me are personal to me.

SIGNATURE OF FIRST INDIVIDUAL TO BE APPOINTED

Date

 / /

Name

Date of birth

 / /

SIGNATURE OF SECOND INDIVIDUAL TO BE APPOINTED

Date

 / /

Name

Date of birth

 / /

8. Declaration and signature of account holders

For personal accounts, all account holders must sign. For company accounts two directors, a director and a secretary or a sole director must sign. Please note that the directors/secretary who sign must be existing account signatories.

- ☐ I/We authorise the individual(s) whose signature(s) appear above to operate my/our account
- ☐ In instances where **section 4** is completed, I/We authorise the person whose name(s) appears in **section 2** to have ClientView access to the account, and
- ☐ I/We acknowledge that, even if I/we do not make use of Perpetual Private Wrap online and telephone services, the appointee(s) may be able to make use of those services in operating my/our account on my behalf.

SIGNATURE OF FIRST ACCOUNT HOLDER

Date

 / /

Print name

Title

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Other

If a company officer, you must specify your corporate title

☐ Individual

☐ Director

☐ Sole Director

☐ Secretary

☐ Trustee

☐ Other (please specify)

SIGNATURE OF SECOND ACCOUNT HOLDER

Date

 / /

Print name

Title

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

Other

If a company officer, you must specify your corporate title

☐ Individual

☐ Director

☐ Secretary

☐ Trustee

☐ Other (please specify)

9. Terms and conditions to which you agree

GENERAL TERMS WHICH APPLY TO ALL LEVELS OF AUTHORITY

- 1.1 Only you can appoint a third party to access or withdraw from your account.
- 1.2 If you appoint a third party to withdraw from your account they will be automatically be able to enquire on your account also.
- 1.3 Withdrawals may be for any amount and may be made at varying intervals of time.
- 1.4 You:
 - a) indemnify us against all loss, liabilities and costs incurred directly or indirectly as a result of the appointment of a third party
 - b) indemnify us against all loss, liabilities and costs incurred directly or indirectly in connection with any action by third party under their appointment or any payment made from your account on their instruction, and
 - c) release us from claims and liabilities in connection with any act or omission related to the appointment of a third party to your account.
- 1.5 However, we remain liable for any loss or liability which, by operation of law we cannot exclude.

- 1.6 This authority takes effect on the date that we amend our records to note the appointment and continues until you cancel it by telling us in writing. Cancellation also takes effect on the date that we amend our records to note the change.
- 1.7 We may cancel the appointment of a third party as an authority on your account by telling you in writing.
- 1.8 In the event of the death of an account holder, the authority given under this form will automatically terminate.
- 1.9 Third parties may not set up direct debits on your account or give other third parties access or authority on your account.
- 1.10 Third parties may not authorise investment transactions (ie domestic and international listed securities/managed investment purchases or sales/term deposits) directly with Perpetual Private Wrap. All Perpetual Private Wrap investment transactions must be done via the Perpetual Private Wrap registered adviser on the account.

Access and use of ClientView by the Client is pursuant to the terms and conditions below. By completing this form, the Client accepts, the terms and conditions set out below. Capitalised terms are defined at the end of this document.

Use of the Platform

Security and privacy

1. The Client agrees:
 - a to use ClientView only if permitted by us for legitimate purposes
 - b keep secure any Access Codes by adopting appropriate physical security measures and by using appropriate technology security systems (eg fire walls and anti-virus, anti spyware software)
 - c comply with any security instructions issued by us in relation to the Access Codes
 - d not share any codes or passwords relating to ClientView
 - e not interfere with or damage (or attempt to interfere with or damage) any code, data or software associated with ClientView
 - f not copy, assign, pass off ClientView services as those of the Client, seek to reverse engineer the ClientView or otherwise infringe upon the intellectual property rights of ClientView
 - g immediately notify Perpetual if you suspect that any person has gained access to your codes or is using your codes without your authorisation
 - h immediately notify Perpetual if you are in breach of any of these conditions of use.
2. Perpetual will be entitled to:
 - a assume that any user has your authority each time ClientView is used in conjunction with your codes, except for any use occurring after you have given Perpetual notice to the contrary, and
 - b abide by any transaction effected via ClientView in conjunction with your codes whether or not the user is authorised, subject only to sufficiency of funds and other terms agreed between Perpetual and the client.

Availability

We will use reasonable efforts to provide (but does not warrant that we will provide):

1. access to ClientView at all reasonable times, and
2. reliable data and information, to the extent that it is within our reasonable control. We take no responsibility for the reliability of information outside our control.

Exclusions

Subject to conditions and warranties implied by legislation, we exclude:

1. liability for any delay, interruption or unavailability of the Platform and for any inaccuracy or incompleteness of data provided by any person and available on ClientView, and
2. all terms implied by statute, general law or custom except ones that may not be excluded.

If we breach any condition or warranty implied by legislation into a contract with a consumer, we limit our liability for that breach to a resupply of the goods or services in respect of which the breach occurred.

Definitions

Access Codes means any codes issued including the Access Code, user identifications, passwords and PINs and any authorisations for any of the Platform services.

AFSL means an Australian Financial Services Licence.

Applicable Laws means the laws which apply to the operation of the Platform including relevant laws relating to privacy and to anti-money laundering and counter terrorism financing.

Client means a person who holds or is likely to hold a Perpetual Private Wrap Product and is or will be recorded as a Client of the Dealer as a result of the application or a subsequent instruction to that effect by the Client, for example account owner, trustee, company director or company secretary.

ClientView means the Perpetual Private Wrap online facility by which Clients are able to access some or all of the services online.

Dealer means a Partnership, Dealer, Dealer Group or Company that acts on a Client's behalf in respect of investments in the Perpetual Products.

Perpetual Private Wrap Products means various products and services as issued or offered by Perpetual.

Perpetual refers to Perpetual Trustee Company Limited (ABN 42 000 001 007) and any other related party, their employees and agents.

Representatives mean adviser, intermediary, support staff or a person that acts on behalf of a dealer.

We, us, our means Perpetual.

You, your, yours means the Client.

For the avoidance of doubt, we exclude any liability whatsoever for consequential or indirect losses, including where such losses arise as a consequence of suspension or revocation of access to ClientView by us.

Notice of changes to these terms and conditions

We reserve the right to change any of these terms or conditions at any time by updating the terms and conditions on our website. We will use reasonable endeavours to notify you of important changes and when they come into effect.

Termination

Perpetual reserves the right to suspend or terminate use of ClientView at any time and for any reason.

You may:

1. end use of this service at any time by giving us written notice, or
2. request us to cancel the authority of an authorised user to access your account(s) using the service at any time. We may require written confirmation of this request.

Access Codes

On acceptance of this registration form, we will set up the Client with access to ClientView, and you will be issued with an Access Code(s).

On completion and acceptance of this form, Access Codes will be issued to the Client. Access Codes are personal to the person set out on this form, and must not be shared or transferred.

Intellectual property

Anything associated with or available through Clientview belongs to us or other third persons and is protected by intellectual property rights. The Client agrees not to access, download or otherwise use such property other than as expressly permitted by these conditions, and will be responsible, and indemnify us accordingly, for any unauthorised use of such property.

Anti Money Laundering and Counter-Terrorism Financing

The Client must:

1. not knowingly do anything to put Perpetual in breach of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006, rules and other subordinate instruments (AML/CTF Laws)
2. notify Perpetual if it becomes aware of anything that would put Perpetual in breach of AML/CTF Laws
3. if requested provide additional information and assistance and comply with all reasonable requests to facilitate Perpetual's compliance.

1. Please ensure your client signs the form.
2. Retain a copy for your records.
3. Send this form to:
Perpetual Private Wrap
GPO Box 5230
Sydney NSW 2001.

If you have any questions about completing this form – Clients please contact your financial adviser, Advisers please contact us on **1800 099 652**.